

# Surfactant therapy in pediatric ALI and ARDS: are we there yet?

*Maddalena Facco Marcazzò, Andrea Pettenazzo*

Pediatric Intensive Care Unit, Department of Pediatrics, University Hospital, Padova, Italy

**Abstract.** Acute Lung Injury (ALI) and Acute Respiratory Distress Syndrome (ARDS) are serious life-threatening disorders in the pediatric population, arising from direct or indirect lung damage, leading ultimately to overwhelming lung inflammation and severe hypoxia. In this inflammatory setting, endogenous surfactant is likely to be either lacking or inactivated by plasma proteins, proteases and reactive oxygen species flooding the injured alveoli. Besides supportive treatment (mechanical ventilation with low tidal volumes, positive end-expiratory pressure to open collapsed alveoli, supplemental oxygen, and supportive care of other organs' failure), exogenous surfactant has been advocated as a possible therapy. However, apart from case reports and small clinical trials, review of the recent literature failed to confirm striking benefit from exogenous replacement therapy. Further studies are needed to confirm a possible role of surfactant in pediatric acute respiratory failure as well as to clarify issues related to this promising therapy.. ([www.actabiomedica.it](http://www.actabiomedica.it))

**Key words:** exogenous surfactant, pediatric acute lung injury, pediatric acute respiratory distress syndrome

**Abbreviations:** ALI: Acute Lung Injury; ARDS: Acute Respiratory Distress Syndrome; PaO<sub>2</sub>/FiO<sub>2</sub>: ratio of arterial oxygen tension and fraction of inspired oxygen

## Introduction

Acute Lung Injury (ALI) and Acute Respiratory Distress Syndrome (ARDS) constitute major clinical problems in pediatric intensive care, due to their high morbidity and mortality.

These life-threatening conditions typically arise from underlying critical illness causing direct (i.e. pneumonia, aspiration, near-drowning) or indirect (i.e. sepsis, pancreatitis, trauma...) lung damage. Distinctive features of both ALI and ARDS include increased lung vascular permeability leading to non-cardiogenic protein-rich lung edema, release of cytokines and pro-inflammatory molecules by neutrophils and macrophages and sloughing off of both alveolar endothelium and epithelium. As a result, reduced lung

compliance, overwhelming lung inflammation and profound hypoxemia occur.

Independently of age, ALI and ARDS are defined by the American European Consensus Conference (AECC) criteria (1), namely: I) Acute onset; II) Evidence of bilateral infiltrates on frontal chest radiograph; III) Severe hypoxemia, defined by PaO<sub>2</sub>/FiO<sub>2</sub><300 (for ALI) or PaO<sub>2</sub>/FiO<sub>2</sub><200 (for ARDS); IV) A pulmonary artery wedge pressure less than 18 mmHg or, if unavailable, no clinical evidence of left atrial hypertension.

ALI and ARDS are characterized by an initial insult, which triggers cell-mediated mechanisms releasing a cascade of various mediators. They disarrange the lining integrity and function of the alveolar-capillary unit, both directly causing death of endothelial and epithelial cells or increasing the permeability of the lung microvasculature, leading to plasma-protein leakage into the alveoli and the interstitial space, hyaline membrane formation, infiltration of neutrophils

and macrophages, ultimately leading to severe hypoxemia and impaired carbon dioxide excretion.

In this inflammatory milieu, pulmonary surfactant inhibition and degradation has been postulated and demonstrated in several studies (2-4); surfactant in ALI and ARDS may either be lacking, due to the death of type II pneumocytes or inactivated by the plasma proteins, proteases and reactive oxygen species flooding the alveolar spaces; thus, overcoming a dysfunctional or lacking endogenous surfactant seems a promising therapy for these life-threatening conditions.

Pathophysiology, diagnosis and management of ALI and ARDS are nowadays subject of extensive research; however, clinical research on ALI and ARDS is dominated by studies performed in adult patients. For example, a Medline search utilizing MeSH database including the terms "Acute Lung Injury" OR "Respiratory Distress Syndrome, Adult" retrieved 12198 results (as of 05/23/2012); however, when limited to children only 2019 clinical investigations remained. Highlighting the differences between children and adults in epidemiology, diagnosis and management of ALI and ARDS seems therefore essential.

## Epidemiology

ALI and ARDS occur with less frequency in children than in adults; in studies performed in a PICU, among mechanically ventilated children, 7% to 8% developed ARDS; in relation to PICU admissions the incidence of ARDS was calculated to be 3% to 4% (5-7); the only European population-based study (conducted in Germany) reported an incidence of pediatric ARDS of 3.2 cases per year/100.000 inhabitants (8); a population incidence of 2.9 cases per year/100.000 inhabitants was described in a multicentre observational study conducted in Australia and New Zealand (5). By contrast, a much higher incidence was found in adults, ranging from 18 to 86 cases per year/100.000 inhabitants (9-11). Mortality in pediatric patients with ARDS ranged between 8% and 35% (6, 12), while in adult patients even case fatality up to 60% have been described (13).

## Diagnosis

Characteristic pathological findings in the lungs of patients with ARDS (14) are of little help in diagnosing ARDS in children, since lung biopsies are uncommon in the pediatric population. Therefore AECC criteria, mentioned above, are the common method to diagnose ALI and ARDS in pediatric patients.

## Treatment

Treatment of children with ALI and ARDS is largely supportive, and includes mechanical ventilation with low tidal volumes, positive end-expiratory pressure to open collapsed alveoli, supplemental oxygen, and supportive care of other organs' failure.

A review on the ventilatory management of ALI and ARDS in children is beyond the objectives of this brief comment; however, using lung protective ventilation strategies aiming to prevent atelectasis as well as overdistention, re-open atelectatic regions and avoid high-pressure ventilation is undoubtedly beneficial, since ventilator-induced-lung-injury (VILI) is a great contributor to multi-organ failure and death (15).

## Potential role of exogenous surfactant treatment in pediatric ALI and ARDS

As mentioned above, administration of exogenous pulmonary surfactant has been considered a possible treatment option in children with ALI and ARDS, since surfactant recovered from bronchoalveolar lavage fluid from children with ALI showed alterations of the phosphatidylcholine and surfactant proteins profile, and had impaired surface-tension-lowering properties (16).

In contrast to neonatal RDS, in pediatric ALI and ARDS there is secondary surfactant depletion and inactivation (17); nonetheless, a potential role of exogenous surfactant administered with the aim of replenishing endogenous surfactant pool and restoring near-normal surface tension in critically injured lungs had been greeted with enthusiasm, as it had been for

the “surfactant revolution” for neonatal RDS in the last two decades of the twentieth century. Unfortunately, unlikely the dramatic improvement in morbidity and mortality among premature infants with RDS, exogenous surfactant therapy hasn't still demonstrated an equivalent potential in pediatric ALI and ARDS.

A great amount of the scientific production on surfactant therapy in children with ALI or ARDS consists of case reports or small (mostly unblinded) clinical trials. Surfactant has been evaluated in the treatment of pediatric ARDS due to near-drowning (18-19), RSV infection (20-22), cardiopulmonary bypass (23), severe aspiration (either of gastric content or toxic compounds, i.e. hydrocarbon) (24-26). In all these studies, exogenous surfactant overall was found to determine at least a transient clinical improvement in the patient treated, and this was more considerable in conditions whose pathophysiology is based on the extreme dilution of the surfactant endogenous pool (i.e. fresh water near-drowning). However, the extreme heterogeneity of the conditions treated and the lack of uniformity on the criteria used to evaluate any lung recovery (i.e. improvement of gas exchange, reduction in ventilatory requirement or oxygen supply, possibility of weaning from the ventilator, increased lung compliance...) make this amount of literature noteworthy but lacking any evidence-based support.

A meta-analysis of six trials of exogenous surfactant replacement therapy in children with acute respiratory failure showed decreased mortality and decreased duration of mechanical ventilation (27). However, the large heterogeneity between patients enrolled in those studies somewhat reduces the strength of inferences that can be made regarding the effect of surfactant on the secondary outcomes of ventilator-free days and duration of PICU stay, as authors question about the reproducibility of treatment effects generated from relatively small unblinded clinical trials (21, 22, 28-30).

Very few trials in pediatric critical care suggest a favourable impact on mortality (31).

In summary, review of the recent literature on the potential role of surfactant replacement therapy in pediatric ALI and ARDS fail to confirm any striking benefit, although it is undisputable that surfactant

could be an adjunct at least in selected cases of pediatric respiratory failure. Nonetheless, many issues still remain about the optimal dosage (is in older children a pro kg dosage feasible?), timing of administration (rescue treatment or prophylactic treatment, before the lungs had been exposed to the noxious effects of mechanical ventilation for long periods?), delivery strategy (intratracheal bolus versus bronchoalveolar lavage) and patient selection (is surfactant efficacy higher in patients with direct lung injury?). Thus large, prospective, randomized clinical trials to clarify these issues are needed.

## References

1. Bernard GR, Artigas A, Brigham KL, et al. Report of the American-European Consensus Conference on ARDS: definitions, mechanisms, relevant outcomes and clinical trial coordination. The Consensus Committee. *Intensive Care Med* 1994; 20: 225-32.
2. Zuo YY, Veldhuizen RAW, Wilhelm Neumann A, et al. Current perspectives in pulmonary surfactant – Inhibition, enhancement and evaluation. *Biochim Biophys Acta* 2008; 1778 (10): 1947-77.
3. Holm BA, Wang Z, Notter RH. Multiple mechanisms of lung surfactant inhibition. *Pediatr Res* 1999; 46: 85-93.
4. Warriner HE, Ding J, Waring JA, et al. A concentration-dependent mechanism by which serum albumin inactivates replacement lung surfactants. *Biophys J* 2002; 82: 835-42.
5. Erickson S, Schibler A, Numa A, et al. Acute lung injury in pediatric intensive care in Australia and new Zealand – A prospective, multicenter, observational study. *Pediatr Crit Care Med* 2007; 8 (4): 317-23.
6. Dahlem P, Van Aldeeren WM, Hamaker ME, et al. Incidence and short-term outcome of acute lung injury in mechanically ventilated children. *Eur Respir J* 2003; 22 (6): 980-5.
7. Kneyber MC, Brouwers AG, Caris JA. Acute respiratory distress syndrome: is it underrecognized in the pediatric intensive care unit? *Intensive Care Med* 2008; 34 (4): 751-4.
8. Bindl L, Dresbach K, Lentze MJ, et al. Incidence of acute respiratory distress syndrome in German children and adolescents: a population-based study. *Crit Care Med* 2005; 33: 209-312.
9. Luhr OR, Antonsen K, Karlsson M, et al. Incidence and mortality after acute respiratory failure and acute respiratory distress syndrome in Sweden, Denmark and Iceland. The ARF Study Group. *Am J Respir Crit Care Med* 1999; 159: 1849-61.
10. Rubenfeld GD, Caldwell E, Peabody E, et al. incidence and outcomes of acute lung injury. *N Engl J Med* 2005; 353: 1685-93.

11. Goss CH, Brower RG, Hudson LD, et al. Incidence of acute lung injury in the United States. *Crit Care Med* 2003; 31: 1607-11.
12. Curley MA, Hibberd PL, Fineman D, et al. Effect of prone positioning on clinical outcomes in children with acute lung injury: a randomized controlled trial. *JAMA* 2005; 294 (2): 229-37.
13. Ware LB, Matthay MA. The acute respiratory distress syndrome. *N Engl J Med* 2000; 342 (18): 1334-49.
14. Bachofen M, Weibel ER. Alterations of the gas exchange apparatus in adult respiratory insufficiency associated with septicemia. *Am Rev Respir Dis* 1977; 116 (4): 589-615.
15. Slutsky AS. Ventilator-induced lung injury: from barotrauma to biotrauma. *Respir Care* 2005; 50 (5): 646-59.
16. Todd DA, Marsh MJ, George A, et al. Surfactant phospholipids, surfactant proteins and inflammatory markers during acute lung injury in children. *Pediatr Crit Care Med* 2010; 11 (1): 82-91.
17. Lachmann B, Eijking EP, So KL, et al. In vivo evaluation of the inhibitory capacity of human plasma on exogenous surfactant function. *Intensive Care Med* 1994; 20 (1): 6-11.
18. Onarheim H, Vik V. Porcine surfactant (Curosurf) for acute respiratory failure after near-drowning in 12 year old. *Acta Anaesthesiol Scand* 2004; 48 (6): 778-81.
19. Suzuki H, Ohta T, Iwata K, et al. Surfactant therapy for respiratory failure due to near-drowning. *Eur J Pediatr* 1996; 155 (5): 383-4.
20. Vos GD, Rijtema MN, Blanco CE. Treatment of respiratory failure due to syncytial virus pneumonia with natural surfactant. *Pediatr Pulmonol* 1996; 22 (6): 412-5.
21. Tibby SM, Hatherill M, Wright SM, et al. Exogenous surfactant supplementation in infants with respiratory syncytial virus bronchiolitis. *Am J Respir Crit Care Med* 2000; 162 (4Pt1): 1251-6.
22. Luchetti M, Ferrero F, Gallini C, et al. Multicentre, randomized, controlled study of porcine surfactant in severe respiratory syncytial virus-induced respiratory failure. *Pediatr Crit Care Med* 2002; 3 (3): 261-8.
23. Alten JA, Borasino S, Pearce FB, et al. Surfactant treatment for congenital heart disease patients with acute respiratory distress syndrome. *Congenit Heart Dis* 2010; 5 (6): 624-8.
24. Marraro GA, Luchetti M, Spada C, et al. Selective medicated (normal saline and exogenous surfactant) bronchoalveolar lavage in severe aspiration syndrome in children. *Pediatr Crit Care Med* 2007; 8 (5): 476-81.
25. Mastropietro CW, Valentine K. Early administration of intratracheal surfactant (calfactant) after hydrocarbon aspiration. *Pediatrics* 2011; 127 (6): e1600-1604.
26. Horoz OO, Yildizdas D, Yilmaz HL. Surfactant therapy in acute respiratory distress syndrome due to hydrocarbon aspiration. *Singapore Med J* 2009; 50 (4): e130-133.
27. Duffett M, Choong K, Ng V, et al. Surfactant therapy for acute respiratory failure in children: a systematic review and meta-analysis. *Crit Care* 2007; 11 (3): R66.
28. Luchetti M, Casiraghi G, Valsecchi R, et al. Porcine-derived surfactant treatment of severe bronchiolitis. *Acta Anaesthesiol Scand* 1998; 42: 805-10.
29. Willson DF, Zaritsky A, Bauman LA, et al. Instillation of calf lung surfactant extract (calfactant) is beneficial in pediatric acute hypoxemic respiratory failure. *Crit Care Med* 1999; 27: 188-95.
30. Moller JC, Schaible T, Roll C, et al. Treatment with bovine surfactant in severe acute respiratory distress syndrome in children: a randomized multicenter study. *Intensive Care Med* 2003; 29: 437-46.
31. Curley MA, Zimmermann JJ. Alternative outcome measures for pediatric clinical sepsis trials. *Pediatr Crit Care Med* 2005; 6: S150-S156.

---

Correspondence:

Andrea Pettenazzo MD,  
Pediatric Intensive Care Unit, Department of Pediatrics,  
University Hospital,  
Via Giustiniani 3, 35128 Padova, Italy  
Tel. +39-335-7940959  
E-mail: pettenazzo@pediatria.unipd.it